



## Unit Trust Switch Form

### 1. HOW TO SWITCH

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# Completing the form

#### DOWNLOAD AND COMPLETE THE FORM AND AGREE TO THE T&C'S

1. Please read the Terms and Conditions, the Investment Option Brochure and the Minimum Disclosure Document (MDD).
2. Complete all relevant sections, and send it together with the required documents to ClucasGray via e-mail [clientrelations@clucasgray.co.za](mailto:clientrelations@clucasgray.co.za)
3. Cut-off times for receiving instructions are 13:00, except for Money Market funds where the cut-off time is 11:00.

#### HAVE ANY OF YOUR DETAILS CHANGED?

If yes, please provide updated proof.

Address

Bank Account Details

Copies of updated ID or registration documents if applicable

## Unit Trust Switch Form

### 2. INVESTOR DETAILS

Client Number \_\_\_\_\_

Names / Entity Name / Co. Registered Name \_\_\_\_\_

ID or Registered Number \_\_\_\_\_

### 3. SWITCH OF UNIT TRUST FUNDS

Please select the appropriate fund and the number of units, or percentage or rand value to be switched.

From Unit Trust Fund Name	Units or Amount or Percentage	To Unit Trust Fund Name	Annual Advisor Fee (Paid by the Investor to the advisor by sale of units)	Distributions* (please tick)	
				Re-invest	Pay out
			%		
			%		
			%		
			%		
			%		

### 4. SPECIAL FEE INSTRUCTIONS

In the event that a special fee arrangement has been entered into with Prescient, please indicate such arrangement below.

Unit Trust Portfolio	Fee Class	Agreed with

### 5. CHANGE OF DEBIT ORDER INSTRUCTIONS (IF APPLICABLE)

My debit order on this account is to:

- Remain unchanged for the fund from which I am switching (for partial switches)

OR

- Be cancelled from
- Be changed to the Fund into which I am switching - Amount of new Debit Order R \_\_\_\_\_

## 6. BANKING DETAILS OF INVESTOR (IF CHANGED)

Name of Account Holder	
Bank	
Branch Name	
Branch Code	
Account Number	
Account Type	

- Please provide Prescient with Proof of Bank Account Details.
- The account holder must have a South African bank account.
- Debit orders and electronic collections will be deducted from this account.
- The onus is on the investor to inform Prescient of any changes to the bank account details.
- No payments will be made into third party bank accounts or credit cards. (i.e. payments will only be made to the bank account in the name of the registered investor).

## AUTHORISATION AND DECLARATION

I hereby acknowledge that the latest terms and conditions that are applicable to my original investment apply to this investment and that I have read the appropriate comprehensive fact sheet (Minimum Disclosure Document) information available on Prescient's [website](#).

I consider myself or the entity or any of its related parties or close relatives or close associates to be, or to be related to or closely associated with; a [Domestic Politically Exposed Person\(s\)](#) and/ or a [Foreign Politically Exposed Person\(s\)](#) and/ or a [Prominent Influential Person](#) as defined in Schedule 3A, 3B and 3C respectively of the Financial Intelligence Centre Act, as amended.

Yes  No

If Yes, please provide details: \_\_\_\_\_

**Please note: It is the client's responsibility to disclose to Prescient should this status change.**

Signed at \_\_\_\_\_ Date \_\_\_\_\_

Full name of signatory \_\_\_\_\_ Capacity \_\_\_\_\_

Authorised Signatory \_\_\_\_\_ Authorised Signatory \_\_\_\_\_

## THANK YOU

You have completed this application form. Please collate all your required FICA documentation to include in your submission.

## CONTACT PRESCIENT MANAGEMENT COMPANY (RF) (PTY) LTD

Physical Address	Prescient House, Westlake Business Park, Otto Close, Westlake, 7945
Postal Address	P.O. Box 31142 Tokai 7966
Toll Free	+27 800 111 899
Reception	+27 21 700 3600
E-mail	<a href="mailto:pmancoadmin@prescient.co.za">pmancoadmin@prescient.co.za</a>
Website	<a href="http://www.prescient.co.za">www.prescient.co.za</a>

## CONTACT CLUCASGRAY (PTY) LTD

Physical Address	Dunkeld Place, 12 North Road, Dunkeld West, 2196
Postal Address	P.O. Box 413037, Craighall, 2024
Reception	+27 811 771 1960
E-mail	<a href="mailto:clientrelations@clucasgray.co.za">clientrelations@clucasgray.co.za</a>
Website	<a href="http://www.clucasgray.co.za">www.clucasgray.co.za</a>

## COMPLIANCE DEPARTMENT

Physical Address	Prescient House, Westlake Business Park, Otto Close, Westlake, 7945
Postal Address	P.O. Box 31142 Tokai 7966
Toll Free	+27 800 111 899
Reception	+27 21 700 3600
E-mail	<a href="mailto:compliance@prescient.co.za">compliance@prescient.co.za</a>
Website	<a href="http://www.prescient.co.za">www.prescient.co.za</a>

## COMPLAINTS

Please do not hesitate to contact us if you are not satisfied with this investment or the services received from Prescient. A complaint must be submitted to the Compliance Officer. Prescient will acknowledge the complaint in writing and will inform the investor of the contact details of the persons involved in the resolution thereof. Should you wish to lodge a complaint regarding the services being provided, an email can be sent to [complaints@prescient.co.za](mailto:complaints@prescient.co.za) or alternatively you can obtain our complaints policy, conflict of interest policy from the compliance department (address above).

Should you have a complaint related to the advice given by your financial advisor, please submit this complaint directly to your financial advisor. If an investor is still not satisfied with the response from the Financial Advisor, he/she has the right to address his/her complaint in writing to the Ombud for Financial Services Providers at the address below. The Ombud is legally empowered to investigate and adjudicate complaints in a procedurally fair, economical and expeditious manner.

Postal Address	P.O. Box 74571 Lynnwood Ridge 0040
Telephone	+27 12 470 9080
Fax	+27 12 348 3447
E-mail	<a href="mailto:info@faisombud.co.za">info@faisombud.co.za</a>